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## Introduction

1. The Applicant, former Chief of Finance at the P-5 level with the Office for the Coordination of Humanitarian Affairs ("OCHA"), contests the decision to impose on him the disciplinary measure of separation from service with termination indemnity and compensation in lieu of notice. At the time of the contested decision, the Applicant held a permanent appointment. The disciplinary sanction was based on the finding that he had made a material

# **Procedural background**

4. The application was filed with the Dispute Tribunal on 14 September 2015. The Respondent's reply was submitted on 9 October 2015.

5.

## **Facts**

April 1976 to December 2008—employment of the Applicant's brother

9. It is common cause that the Applicant's brother was employed by the United Nations Office in Geneva ("UNOG") at the time of the Applicant's initial appointment in 2001. More specifically, the Applicant's brother was employed at UNOG from 5 April 1976 until 8 December 2008, when he retired.

# August 2001—exchange leading up to Ahpplicant's appointment

- 10. In August 2001, the Applicant was selected for the position of a P-3 level Transport Officer with the Department of Peacekeeping Operations. He received an email on 15 August 2001 from a human resources officer regarding a P.11 form that the Organization apparently held on file, stating: "Upon review of our records, we note that your P.11 [form,] while indicating that you had a BA [Bachelor of Arts degree] in English History in 1985, does not include the Masters in Cost Accounting from the University of Bombay". One day later, on 16 August 2001, an internal email was circulated among the persons dealing with the Applicant's recruitment confirming that he should be sent an offer of appointment.
- 11. On the same day, 16 August 2001, the Applicant sent a facsimile transmission with copies of his education certificates, stating, "I had applied for the post via email and hence have not completed any P.11 document of the U.N. Please let me know if you need any further information, and will try and provide it as soon as possible". The Applicant also sent an email referring to the facsimile and stating that he "had applied for the job via the internet, and hence have not personally completed a P.11". He also

provided a clarification regarding his qualifications, stating: "My bachelor degree was a B.Com in Accounting and not B.A. in English History as mentioned by you".

12. By email the same day, the human resources officer handling his recruitment requested that the Applicant complete an attached P.11 form. One day later, on 17 August 2001, the Applicant was again asked to complete and return a P.11 form. The Applicant replied that he would try to send the P.11 form as soon as possible. On the same day, a series of emails were also exchanged between the Applicant and the human resources officer regarding the Applicant's nationality for the purposes of employment with the Organization.

## August–September 2001—preparatof the initial P.11 form

13. On 22 August 2001, the Applicant sent an email stating that it was his understanding that the human resources officer would be making changes to the Applicant's P.11 form. Specifically, the Applicant stated:

## October 2001—initial appointment

16. By email dated 3 October 2001, the Applicant was informed of the details of his arrival and induction process. He replied by email on 14 October 2001, attaching his "completed P.11 form, to mirror [his] application sent in January 2001". He was appointed with the effective date of 17 October 2001.

## October 2001—unsigned P.11 form

17. An unsigned P.11 form was submitted as evidence by the parties. Although it is dated "January 2001", it appears from the surrounding circumstances that this form was in fact submitted in or around October 2001. The form records the Applicant's personal details, educational qualifications, and work history. Section 18 of the form asks, "Are any of your relatives employed by a public international organization?" The form is marked, "No". A follow-up subsection, which reads—"If answer is 'yes', give the following information [name, relationship, name of international organization]"—has been left blank. Question 33 of the form states: "I certify that the statements made by me in answer to the foregoing questions are true, complete and correct to the best of my knowledge and belief. I understand that any misrepresentation or material omission made on a Personal History form or other document requested by the United Nations renders a staff member of the United Nations liable to termination or dismissal". This section is marked with the typed date referred to above ("January 2001") but is not signed.

# March 2005 and February 2007—jamplications through Galaxy

18. On 25 March 2005 and 21 February 2007, the Applicant submitted two job applications using Galaxy, the Organization's online recruitment

system used at the time. The Applicant was selected for these positions. In his personal history forms, he answered "No" to the question, "Are any of your relatives employed by a public international organization? If you answered Yes to #1, list any relatives employed by the United Nations or its Specialized Agencies Below". (The

# May 2013—fact-findig investigation

22. On 10 May 2013, almost two years after his promotion in 2011 and 12 years after his entry into service, Mr. Michael Stefanovic, the then Director, Investigations

intend to misrepresent himself or to omit any material and relevant information.

- 25. On 28 June 2013, the USG/OCHA forwarded the Applicant's response to Ms. Catherine Pollard, the then Assistant Secretary-General for Human Resources Management, for appropriate action.
- 26. On 19 July 2013, the then Officer-in-Charge of the Office of Human Resources Management ("OHRM") informed the USG/OCHA that, in accordance with ST/AI/371 (Revised Disciplinary Measures and Procedures), there was a sufficient basis for OCHA to consider whether to undertake an investigation into the Applicant's conduct.
- 27. On 24 April 2014, a fact-finding investigation panel interviewed the Applicant. On the same day, immediately following the interview with the Applicant, the panel interviewed the Applicant's brother via telephone.
- 28. On 26 May 2014, the Applicant signed a summary of the interview he participated in with the fact-finding panel on 24 April 2014, certifying ir-9tfh8 Tf1.75 0.2("o2, the0cD[erview)7.6()]. nvestiga4ion p5491he App. HumaOn

forwarded a copy of a fact-finding investigation on possible misconduct to the USG/OCHA.

- 31. The key findings of the fact-finding investigation report were as follows:
  - (iv) In job application forms in 2001 and 2003, Mr. A. Rajan did not indicate that he had any relatives employed in the UN system, although his brother ... was employed at UNOG at that time.
  - (v) In job application forms in 2005, 2007, and 2008, Mr. A. Rajan did not indicate that he had any relatives employed in the UN system, although in addition to his brother ... who was employed at UNOG, his wife ... was also employed in the UN.
  - (vi) In job application forms in 2010, 2013, and 2014, Mr. A. Rajan indicated that his spouse ... was employed in the UN, by which time, his brother ... had retired from UN service.
- 32. By interoffice memorandum to Ms. Carole Wainaina, Assistant Secretary-General, OHRM ("ASG/OHRM"), dated 26 December 2014, the USG/OCHA summarized the fact-finding investigation report and ti-1.1(Il.t.725 TD.00w8eudTJ11.63 was-5.3(m3ina, 7(y be caJ0 -2em)8 0 TD-.2103 Tc-.000

2001 and 2008, making a material misrepresentation on personal history forms by falsely stating that he did not have a relative employed by a public international organization. The Applicant was asked to provide a written statement in response to the allegations.

34. On 22 April 2015, the Office of Staff Legal Assistance submitted a written response to the allegations on behalf of the Applicant.

## 15 July 2015—disciplinarsanction letter

- 35. On 15 July 2015, Ms. Wainaina wrote to the Applicant to convey the outcome of the disciplinary process. Ms. Wainaina summarized the Applicant's submissions dated 22 April 2015. She stated that having reviewed the dossier, she had decided to drop the allegations against the Applicant relating to his failure to disclose his spouse's employment with the Organization.
- 36. However, she informed the Applicant that it had been established by clear and convincing evidence that, knowing that his brother was employed by the Organization, the Applicant had falsely stated that he did not have a relative employed by a public international organization. In relation to the Applicant's comments on the allegations, the letter stated:

With respect to your comments on the allegations of misconduct, the Under-Secretary-General for Management, on behalf of the Secretary-General, considered, among other things, the following:

(a) There is no ambiguity in the question regarding employment of relatives since the United Nations is clearly a "public international organization". You also

- interest. This contention, however, provides no justification for your not providing a truthful answer to the question and certifying the truthfulness of the false information you submitted.
- (b) In 2003, you created your PHP anew in the newly-introduced online platform (Galaxy), as the automatic electronic transfer of information previously submitted on P.11s was not possible in Galaxy. Further, at the time you completed your PHP in Galaxy, you had already served the Organization for almost two years at the P-3 level, and it is not credible that you still did not understand that your brother worked for a public international organization, as you knew he was working for the United Nations at that time.
- (c) With respect to making false statements in PHPs, the Appeals Tribunal held that the Organization is under no obligation to prove mens reaand that the applicant is obliged to ensure that his candidacy is premised on accurate information.
- (d) As your dishonesty is at the heart of the case,

disciplinary measures at the stricter end of the spectrum (e.g., separation or dismissal).

With respect to aggravating factors, the Under-Secretary-General for Management, on behalf of the Secretary-General, considered that you had a number of opportunities to submit truthful information over a period of time.

With respect to mitigating circumstances, the Under-Secretary-General for Management, on behalf of the Secretary-General, considered that you showed remorse and apologized for your conduct, and that you have a record of long service with positive performance evaluations.

In light of the above, the Under-Secretary-General for Management, on behalf of the Secretary-General, has decided to impose on you the disciplinary measure of separation from service with compensation in lieu of notice, and with termination indemnity in accordance with staff rule 10.2(a)(viii), effective upon your receipt of this letter.

## 16 July 2015—separation from service

37. On 16 July 2015, the Applicant received the letter of 15 July 2015. He was separated effective 16 July 2015, with three months' compensation in lieu of notice and termination indemnity.

## **Applicant's submissions**

- 38. The Applicant's primary contentions can be summarized as follows:
  - a. He had not completed his P.11 form at the time he was offered the initial appointment in 2001. He was offered, and accepted, an initial appointment with the Organization before any representation as to his brother's employment had been made. It would not, therefore, have been possible for his non-disclosure to have advanced his chance of recruitment;

- b. Having been previously employed in the private sector, the Applicant was not familiar with the Organization's structure. He did not make any connection between the United Nations offices in Geneva and the Department of Peacekeeping Operations in New York. He understood the question to be related to whether there were any possible conflicts of interest (to which the answer was, he believed, "no"). He maintains that the question was not clear to him at the time of his application to the Organization. The Tribunal has previously found that the phrase "Public International Organization" is "not as self-evident as the Respondent submits that it is" (Nourain UNDT/2012/142);
- c. His submissions in subsequent job applications were attributable to misunderstanding and inattention rather than deliberate deception;
- d. When the Organization transitioned to the Galaxy recruitment system, he transferred the information from his previous records into this new system automatically. The mistaken non-disclosure as to his brother's employment was therefore transferred without him noticing;
- e. The reason his disclosure changed in 2010 was not because of his brother's retirement in 2008, but rather because in 2010 the Organization transferred its online recruitment process to the new Inspira system, which did not allow for the transfer of all previous information wholesale and automatically. As a result, he was required to input his details manually into Inspira;

which was crucial to the Organization to ensure the conditions stipulated in the staff rule were adhered;

- c. If the Applicant believed no conflict of interest arose from his brother's employment and that giving the truthful answer would therefore not create a problem for him, then the reasonable course of action would have been to simply disclose the fact that his brother worked for the Organization in Geneva;
- d. Former staff rule 104.10 permitted fraternal employment only in very limited circumstances. The disclosure of the Applicant's fraternal relationship with a staff member would have led to additional scrutiny of his applications, and possibly impacted the selection decisions. That former staff rule 104.10 include circumstances in which fraternal employment was permitted does not alleviate the gravity of the Applicant's offence;
- e. The Applicant's contention that he accepted his initial offer of appointment before making a misrepresentation is not

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Case No. UNDT/NY/2015/054 Judgment No. UNDT/2016/221 41. Former staff rule 104.4, in effect at the time of the Applicant's initial appointment in 2001, stated:

an administrative decision affecting the status or entitlements of the staff member to whom he or she is related.

43. In 2009, new provisional Staff Rules were introduced (ST/SGB/2009/7), including staff rules 1.5 and 4.7(a), which stated:

#### **Rule 1.5**

# Notification by staff members and obligation to supply information

- (a) Staff members shall be responsible for supplying the Secretary-General with relevant information, as required, both during the application process and on subsequent employment, for the purpose of determining their status under the Staff Regulations and Staff Rules as well as for the purpose of completing administrative arrangements in connection with their employment. Staff members shall be held personally accountable for the accuracy and completeness of the information they provide.
- (b) Staff members shall also be responsible for promptly notifying the Secretary-General, in writing, of any subsequent changes affecting their status under the Staff Regulations or Staff Rules.

. . .

#### **Rule 4.7**

#### Family relationships

- (a) An appointment shall not be granted to a person who is the father, mother, son, daughter, brother or sister of a staff member, unless another person equally well qualified cannot be recruited.
- (b) The spouse of a staff member may be appointed provided that he or she is fully qualified for the post for which he or she is being considered and that the spouse is not given any preference by virtue of the relationship to the staff member.
- (c) A staff member who bears to another staff member any of the relationships specified in paragraphs (a) and (b) above:

- (i) Shall not be assigned to serve in a post which is superior or subordinate in the line of authority to the staff member to whom he or she is related:
- (ii) Shall not participate in the process of reaching or reviewing an administrative decision affecting the status or entitlements of the staff member to whom he or she is related.
- 44. With effect from 2 September 2010, staff rule 4.7 was amended to remove from para. (a) the phrase "unless another person equally well qualified cannot be recruited" (see ST/SGB/2010/6). Staff rule 4.7 has since remained in force, stating:

#### **Rule 4.7**

# Family relationships

- (a) An appointment shall not be granted to a person who is the father, mother, son, daughter, brother or sister of a staff member.
- (b) The spouse of a staff member may be appointed provided that he or she is fully qualified for the post for which he or she is being considered and that the spouse is not given any preference by virtue of the relationship to the staff member.
- (c) A staff member who bears to another staff member any of the relationships specified in paragraphs (a) and (b) above:
  - (i) Shall not be assigned to serve in a post which is superior or subordinate in the line of authority to the staff member to whom he or she is related:
  - (ii) Shall not participate in the process of reaching or reviewing an administrative decision affecting the status or entitlements of the staff member to whom he or she is related.
- 45. Staff rules 10.1, 10.2, and 10.3 (ST/SGB/2014/1), provide, insofar as relevant:

## **Rule 10.1**

#### Misconduct

(a) Failure by a staff member to comply with his or her obligations under the Charter of the United Nations, the Staff Regulations and Staff Rules or other relevant administrative issuances or to observe the standards of conduct expected of an international civil servant may amount to misconduct and may lead to the institution of a disciplinary process and the imposition of disciplinary measures for misconduct.

#### **Rule 10.2**

# **Disciplinary measures**

- (a) Disciplinary measures may take one or more of the following forms only:
  - (i) Written censure;
  - (ii) Loss of one or more steps in grade;
  - (iii) Deferment, for a specified period, of eligibility for salary increment;
- (iv) Suspension without pay for a specified period;
  - (v) Fine;
  - (vi) Deferment, for a specified period, of eligibility for consideration for promotion;
  - (vii) Demotion with deferment, for a specified period, of eligibility for consideration for promotion;
  - (viii) Separation from service, with notice or compensation in lieu of notice, notwithstanding staff rule 9.7, and with or without termination indemnity pursuant to paragraph (c) of annex III to the Staff Regulations;
  - (ix) Dismissal.

. . .

# **Rule 10.3**

#### Due process in the disciplinary process

. . .

(b) Any disciplinary measure imposed on a staff member shall be proportionate to the nature and gravity of his or her misconduct.

#### Consideration

## Scope of judicial review

46. When considering appeals against the imposition of disciplinary measures for misconduct, the Tribunal must examine whether the procedure followed is regular, whether the facts in question have been established, whether these facts constitute misconduct, and whether the sanction imposed is proportionate to the misconduct committed (see Mahdi 2010-UNAT-018; Sanwidi 2010-UNAT-084; Masri 2010-UNAT-098). The Appeals Tribunal has reiterated in a number of judgments that due deference is to be afforded to the decision of the decision-maker and that it is not the role of the Dispute Tribunal to substitute a decision that it may have otherwise made, had it been in the shoes of the decision-maker (Doleh 2010-UNAT-025; Said 2015-UNAT-500; Hepworth 2015-UNAT-503; Portillo Maya 2015-UNAT-523; Ogorodnikov2015-UNAT-549).

#### Whether the facts were established

47. As the Appeals Tribunal stated at para. 17 of Liyanarachchige2010-UNAT-087,

In a system of administration of justice governed by law, the presumption of innocence should be respected. Consequently, the Administration bears the burden of establishing that the alleged misconduct for which a disciplinary measure has been taken against a staff member occurred.

- 48. When termination is a possible outcome, there should be sufficient proof, and misconduct must be established by clear and convincing evidence, which requires more than a preponderance of the evidence but less than proof beyond a reasonable doubt—it means that the truth of the facts asserted is highly probable (Molari 2011-UNAT-164).
- 49. In this case, the established facts are that on three occasions—sometime in or around October 2011, on 25 March 2005 and on 21 February 2007—the Applicant's personal history forms incorrectly indicated he did not have "relatives employed by a public international organization", whereas his brother was in fact employed by the United Nations until 8 December 2008.

#### Whether the facts amont to misconduct

- 50. The disciplinary sanction was based on the finding that the Applicant made material misrepresentation in his personal history forms. A significant component of the Administration's case was that the Applicant acted with intent and that, as stated in the letter of 15 July 2015, his "dishonesty [was] at the heart of the case".
- 51. In view of the Applicant's explanations, the Tribunal does not find that it has been established that the Applicant's actions amounted to misconduct, including that he acted dishonestly and with the intent to mislead the Organization.
- 52. Firstly, the timing and the manner in which the data was initially entered in 2001 suggests in all likelihood, an oversight and misunderstanding on the Applicant's part and a lack of thoroughness on the part of the Administration in securing all necessary documentation timeously. The P.11 form dated "January 2001" was, in any event, not

signed by the Applicant. It appears that this form may have been completed with guidance or input from OHRM (see the Applicant's email exchange with the human resources officer on 22 August and 5 September 2001). The P.11 form was also prepared after the letter of appointment had already been signed and after the Applicant had entered on duty in or around October 2001. (As mentioned above, the date "January 2001" on the P.11 form appears to be a misnomer, as the unsigned form was in all likelihood backdated.)

53. Secondly, the Applicant submitted that, having come from private practice, he was confused by and misunderstood the question. He did not make any connection between the United Nations offices in Geneva and the Department of Peacekeeping Operations in New York. At the same time, the Applicant understood the question to concern whether there were any possible conflicts of interest. Indeed, a plain reading of the applicable law (former staff rule 104.10(c)(i) and (ii)) illustrates that its primary purpose was to avoid a situation of a conflict of interest, particularly between family members serving in posts where there is a common line of authority or where a staff member may be involved in an administrative decision involving his or her relative. Notably, and furthermore in Nourain UNDT/2012/142, the Dispute Tribunal also considered whether a staff member ought to know that reference to a "Public International Organization" in the Personal History form includes any organization in the United Nations Common System. The Dispute Tribunal noted that "[i]f the term is intended to mean the United Nations Common System, it is not clear why, for the purposes of specificity and clarity the Organization would not use this term directly; perhaps with a reference to the website specifying all the relevant organizations as opposed to an ambiguous and more complex term". The Dispute Tribunal concluded that the meaning of the term is not

self-evident, as suggested by the Respondent in that case, and recommended framing the question in a clearer manner.

- 54. Indeed, the wording of the question ("Are any of your relatives employed by a public international organization") raises some issues. There are a number of international organizations that are separate and distinct from the UN Secretariat. There are some entities whose relationship to the UN Secretariat and UN family may be somewhat unclear to an ordinary person, such as the United Nations Development Programme, International Labour Organization, etc. One could be reasonably confused by whether the question meant to establish whether the persons are working in the same office, same location, or under the same chain of command. Furthermore, the Tribunal is concerned that the implications of the question and the consequences of an incorrect response were not articulated anywhere in the personal history forms. No candidate could reasonably foresee that an incorrect response would result in the findings of misconduct and separation from service.
- 55. Thirdly, it is unclear whether the information regarding the Applicant's brother's employment, had it been disclosed at the time, would actually have had a negative e

Nations Hybrid Operation in Darfur. The two sisters were initially given 14 days to decide and advise management as to which of them would resign. When no response was provided to management, the matter was referred for an investigation, and, in 2011, Ms. A. Nourain was separated from service with compensation in lieu of notice but without termination indemnity, and Ms. S. Nourain was dismissed without compensation. Ms. S. Nourain appealed the decision before the Dispute Tribunal. The Tribunal concluded that she deliberately made a false ma

of interest. However, the circumstances of the Applicant's case and the elements of his conduct do not point to a lack of integrity or dishonesty. The facts in the present case, in view of the Applicant's explanations, do not support the same conclusion as was reached in Nourain Rather, this is a case of an oversight and perhaps a certain degree of carelessness, but certainly not a matter that falls under the category of misconduct warranting a disciplinary sanction. Nor was there any potential or perceived conflict of interest in the employment of both family members, working in two totally separate locations and unrelated units, in terms of the applicable law at the time. Further, this does not appear to be the type of situation where it can be said that the employment relationship broke down irretrievably.

61. The Tribunal finds that the established facts do not amount to misconduct.

# Proportionality

62. The jurisprudence on proportionality of disciplinary measures is well-settled. The Tribunal will give due deference to the Secretary-General unless the decision is manifestly unreasonable, unnecessarily harsh, obviously absurd or flagrantly arbitrary. Should the Dispute Tribunal establish that the disciplinary measure was disproportionate, it may order imposition of a lesser measure. However, it is not the role of the Dispute Tribunal to second-guess the correctness of the choice made by the Secretary-General among the various reasonable courses of action open to him. Nor is it the role of the Tribunal to substitute its own decision for that of the Secretary-General. (See Doleh the chh(ong the var 80ertainl al )80-UNAT- TD; 1 Tf12.675 0

- 63. As was noted in YismaUNDT/2011/061, disciplinary cases tend to be very fact-specific and the Tribunal must exercise caution in extracting general principles concerning proportionality of disciplinary measures from the types of measures imposed in other cases, as each case has its own unique facts and features.
- 64. The Tribunal finds that, given that the established facts do not amount to misconduct, it follows that no disciplinary measures should have been applied to the Applicant.

#### Relief

65. The Applicant seeks, inter alia, rescission of the decision to terminate his permanent appointment; reinstatement in service to his former post of Chief of Finance or, alternatively, "adequate compensation for actual damages"; and compensation for "moral injury, stress, reputational and career damage".

## General principles

- 66. By resolution 69/203, adopted on 18 December 2014 and published on 21 January 2015, the General Assembly amended art. 10.5 of the Tribunal's Statute to read as follows: "As part of its judgement, the Dispute Tribunal may only order one or both of the following ... (a) [r]escission ... [or] (b) [c]ompensation for harm, supported by evidence emphasis added). (See also Antaki 2010-UNAT-095, stating that "compensation may only be awarded if it has been established that the staff member actually suffered damage.")
- 67. The fundamental purpose of a judicial remedy is to attempt, to the extent possible, to place the aggrieved party in the position she or he would

have been in but for the breach (Warren 2010-UNAT-059, Castelli 2010-UNAT-082 and lannelli 2010-UNAT-093).

# Specific performance

#### 68. In Klein UNDT/2011/169, the Tribunal stated:

... The remedy of rescission of an administrative decision generally entails the undoing of the decision. However, in some situations rescission as a remedy may be unavailable, for example, where third party rights are affected, or where a restoration of the status quo antes impossible. Further, in some instances, the Tribunal may find that, although rescission is available, other types of relief, such as specific performance or compensation, may be more appropriate.

While the power to rescind relates to "the contested administrative decision", the power relating to specific performance is put in general terms as various types of specific performance may be ordered depending on the circumstances of each case. The Dispute Tribunal has ordered the following types of corrective action: access to a full performance rebuttal process for staff on contracts with duration of less than one year (Miyazaki UNDT/2010/078); quashing of a contested investigation report and conditional referral of the matter for a fresh investigation (Messinger UNDT/2010/116, affirmed in Messinger 2011-UNAT-123 (note that the referral was made not under arts, 10.4 or 10.8) of the Dispute Tribunal's Statute, but as specific performance under art. 10.5(a)); removal of improper or adverse material from personnel records (Zerezghi UNDT/2010/122, Grigoryan UNDT/2011/057, Garcia UNDT/2011/068); imposition of an alternative disciplinary measure (see Zerezghi UNDT/2010/122 and Bridgeman UNDT/2011/145, and, also, the United Nations Appeals Tribunal's judgments in Abu Hamda2010-UNAT-022 and Doleh 2010-UNAT-025); referral of the matter to a classification appeals committee (Aly et al.UNDT/2010/195) (note that the referral was made not under arts. 10.4 or 10.8 of the Dispute Tribunal's Statute, but as specific

performance under art. 10.5(a)); convening of a medical board for consideration of outstanding medical claims (Meron UNDT/2011/004); and return of personal material improperly seized from the concerned staff member (BridgemanUNDT/2011/145).

... As the examples of corrective action ordered above demonstrate—and as confirmed by the Appeals Tribunal in Fröhler 1 Tf .335 0 TU153  $\,$  0 TD -.0009 Tc .1(

72. However, upon his separation from service the Applicant was paid termination indemnity and three months' salary in lieu of notice. As the Appeals Tribunal stated in Bowen

specific evidence supporting the claim for such relief (Kozlov and Romadanov2012-UNAT-228; Hasan2015-UNAT-541).

76. Having considered the evidence in this case and the jurisprudence of the Appeals Tribunal on issues of relief, the Tribunal does not find that the present case satisfies the requirements for an award for moral injury. No evidence has been brought forward by the Applicant to substantiate his claim for compensation for moral injury, nor does the Tribunal consider that the breach of his rights was of such a fundamental nature that it should give rise, in and of itself, to an award of compensation in addition to compensation for his pecuniary loss (see also art. 10.7 of the Tribunal's Statute, precluding awards of exemplary or punitive damages). Accordingly, the claim for an award for moral injury is dismissed.

#### **Observations**

77. The Tribunal commends both parties for preparing thorough, composite and helpful submissions in a rather complex matter. Clear and concise pleadings and joint submissions on agreed and disputed facts and legal issues, as well as the preparedness of Counsel, go a long way in expediting proceedings and assisting the Tribunal.

#### **Orders**

78. The decision to separate the Applicant is rescinded and he shall be reinstated in service retroactively from the date of dismissal. Alternatively, the Respondent may elect to pay the Applicant compensation in the amount of two years' net base salary, minus the termination indemnity and payment in lieu of notice paid to the Applicant upon his separation.

- 79. The record of investigation and disciplinary sanction, as well as any adverse material pertaining hereto, shall be removed from the Applicant's personnel files.
- 80. The aforementioned amount shall bear interest at the U.S. Prime Rate with effect from the date th