

THE UNITED NATIONS A

staff mem/er& re: uired independence and impartialit&+ t) us potentiall& reflecting ad"ersel&on t) e 6 und and Aor gi"ing rise to reputational concerns.

; Ba ed on t-e fo"e. oin.) t-e UN Et-ic Office note t-att-e factt-at (i) a taff + e+, e") 2-ile e"vin. on t-e Pen ion Boa"d) pa"ticipate in ove" eein. t-e pe"fo"+ ance of t-e %EO) 2-o in t*"n + ana.e t-e taff + e+, e"' pe"fo"+ ance) and t-at (ii), / e"vin. on t-e B*d.et Go"kin. #"o*p) t-e taff + e+, e" 2o*ld - ave a a/ in t-e app"oval of -i o" - e" Office', *d.et and pot) incl*din. po i, I/ - i o" - e" o2n po ition and , enefit).ive "i e to, ot- a pe" onal conflict of inte"e ta 2ell a a conflict of f*nction.

; Accordingl&+gi"en t) e ris- of reputational damages to t) e UNJ5\$6 in t) e e"ent of a conflict of interest+or e"en a perception or appearance t) ereof+t) e UN Bt) ics 4ffice notes t) at it 9ould /e prefera/le for an& UNJ5\$6 staff mem/er not to ser"e on t) e \$ension ' oard+as indeed pro"ided for in amended #ule C.1. Noting t) at amended #ule C.1 9as adopted after t) e April 2013 election+<u>from an et) ics perspecti"e</u>+and to mitigate an& potential conflict-of-interest ris- arising from an& staff mem/er <u>concurrentl&</u> <u>ser"ing</u> in t) e UNJ5\$6 5ecretariat 0e2ecuting /od& and on t) e \$ension ' oard 0go"erning /od&+t) e UN Bt) ics 4ffice notes t) at it 9ould /e ad"isa/le to re: uire an& suc) staff mem/er to restrict) im- or) erself from) andling matters in"ol"ing t) e UNJ5\$6+in accordance 9it) t) e re: uirements of 5taff #ule 1.20t 1.

?. In an e-mail dated 19 4cto/er 2018+t) e C) airperson informed mem/ers of t) e ?7¹ session of t) e Sension ' oard+ including (s. #oc-cliffe+ of t) e ad"ice t) at) e) ad recei"ed from t) e %irector of t) e Bt) ics 4ffice+ and proposed t) at (r. 6itCgerald+anot) er participants8 representati"e appointed to t) e '. as an alternate+ ser"e su/stanti"el& on t) e '. + in place of (s. #oc-cliffe. In a follo9-up e-mail dated 22 4cto/er 2018+t) e C) airperson confirmed) is proposed arrangement.

3. **4**n 22 **4**cto/er 2018+ (s. #oc-cliffe filed an application for e2ecution of Judgment No. 2013-UNAT-803.

\$. Rockcliffe' Application fo" E7ec*tion

8.

constituent groups+ committees and 9or-ing groups+ t) e C) airperson) ad remo"ed) er name from t) e list of mem/ers of t) e'.

9. (s. #oc-cliffe maintains t) at t) e decision /&t) e C) airperson 9as procedurall&fla9ed as it circum"ented t) e esta/lis) ed process 9) ere/& onl&t) e 5tanding Committee of t) e \$ension ' oard is aut) oriCed to act for t) e \$ension ' oard 9) en it is not in session.

10. (s. #oc-cliffe contends t) at t) e C) airperson /ased) is decision on an opinion from t) e **B**t) ics **4**ffice t) at) e) ad personall& solicited. T) at opinion in turn /ased its rationale on t) e amendment to t) e #ules of \$rocedure of t) e 6 und t) at) ad /een found to /e **E**null and "oid**F**.

11. (s. #oc-cliffe /elie"es t) at t) e decision to remo"e) er from t) e'. appeared to /e a direct act of retaliation against) er for e2pressing) er agreement 9it) t) e report of t) e 4ffice of !nternal 4"ersig) t 5er"ices 04!451. !t also demonstrates /ad fait) and collusion on t) e part of t) e secretariat of t) e 6und and t) e C) airperson.

12. (s. #oc-cliffe re:uests t) at t) e Appeals Tri/unal order t) e e2ecution of Judgment No. 2013-UNAT-803.

T-e&*nd' %0++ent

1>. Judgment No. 2013-UNAT-803 issued /&t) e Appeals Tri/unal) as /een full&e2ecuted. (s. #oc-cliffe recei"ed documents and training for+ and attended+t) e >2@0 UN5\$C meeting on 8 No"em/er 2013. 5) e also participated in t) e su/se: uent UN5\$C meetings as 9ell as t) e ?70 session of t) e \$ension ' oard from 2? Jul&to > August 2018. In a 9ord+(s. #oc-cliffe) as not /een denied) er rig) t to participate in t) e UN5\$C and t) e \$ension ' oardGs) e) as /een accorded all rig) ts and pri"ileges in accordance 9it) t) e Appeals Tri/unal Judgment+ including access to all documents.

1@. * o9e"er+ (s. #oc-cliffe% participation in t) e \$ension ' oard is not unfettered. !t isgo"erned /& t) e norms of conduct t) at go"ern all mem/ers of t) e \$ension ' oard+ includingacting 9it) t) e) ig) est standards of efficienc&-competence and integrit& After t) e Bt) ics 4ffice%finding t) at t) ere 9as a conflict of interest for (s. #oc-cliffe to ser"e as a mem/er of t) e'. +t) e matter s) ould /e+and 9as+resol"ed in fa"or of t) e interests of t) e 6und. T) ere is no rig) t for

c) ec-s and /alances from t) e go"ernance of t) e 6und.³ (s. #oc-cliffe 9as t) us gi"en t9o options: eit) er remain on t) e \$ension ' oard and accept to /e mo"ed to anot) er post outside of t) e 6und+or continue to 9or- in t) e 6und and resign from t) e \$ension ' oard and t) e UN5\$C.⁸

21. T) e main findings of t) e Appeals Tri/unal on t) e merits 9ere t) at i1 t) ere 9as no la9 t) en pro) i/iting (s. #oc-cliffe from running for election to t) e UN5\$CG ii1 s) e 9as la9full& electedGand iii1 (s. #oc-cliffe s) ould conse: uentl&/e accorded t) e same rig) ts and pri"ileges as t) e ot) er dul& elected UN5\$C mem/ers+ including participating in \$ension ' oard sessions and meetings and its constituent groups+committees and 9or-ing groups.

22. Conse: uentl&+ alt) oug) t) e Appeals Tri/unal did not e2plicitl& address t) e issue of conflict of interest in its Judgment+it impliedl& reliected it+/& means of appl&ing t) e la9 in force at t) e time. T) e Appeals Tri/unal stated t) at: E<a=t t) e time 9) en (s. #oc-cliffe decided to /e a candidate in t) e election t) ere 9as no la9 9) ic) pre"ented) er from /eing elected to t) e UN5\$CE.⁹ T) e Appeals Tri/unal) eld:¹⁰

; !t t) erefore follo9s t) at as a direct conse: uence of) er election to t) e UN5\$C t) e same rig) ts and pri"ileges pertaining to an elected mem/er are conferred upon (s. #oc-cliffe. T) ere is no la9 9) ic) empo9er t) e 5tanding Committee to remo"e or restrict t) ese rig) ts and pri"ileges.

; The Standing Committee's decision to den&(s. #oc-cliffe access to t) e \$ension ' oard documents and

2>.